



## Complete if Known 09/837,877 Application Number INFORMATION DISCLOSURE April 19, 2001 Filing Date STATEMENT BY APPLICANT Shunpei YAMAZAKI et al. First Named Inventor (use as many sheets as necessary) 2822 Art Unit M. Lewis Examiner Name Sheet Attorney Docket Number 740756-2298

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	U.S. Patent Document	, Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document			
m4		US-6,479,333	11/12/2002	Takano et al.			
mC		US-6,603,453	08/05/2003	Yamazaki et al.			
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FOREIGN PATENT DOCUMENTS									
Exeminer Initials	Cite No.1	Foreign Patent Document  Kind Code <sup>3</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>			
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		OTHER PRIOR A	RT – NON PATENT I	LITERATURE DOCUMENTS	***				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.							
mt		Byeon et al.; "High-Performance Tantalum Oxide Capacitors Fabricated by a Novel Reoxidation Scheme"; IEEE TRANSACTIONS ON ELECTRON DEVICES; Volume 17; No. 4; Pages 972-979.							
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Examiner Signature Date Considered O O O

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## Complete if Known Substitute for form 1449A/PTO 09/837,877 Application Number INFORMATION DISCLOSURE April 19, 2001 Filing Date STATEMENT BY APPLICANT Shunpei YAMAZAKI First Named Inventor (use as many sheets as necessary) Art Unit 2822 Monica Lewis **Examiner Name** Attorney Docket Number Shect 740756-2298

			U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No. 1	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if Inown)	Publication Date MM-DD-YYYY	Name of Patenties or Applicant of Cited Document	Pages, Columns, Lines, Where Refevant Passages or Refevant Figures Appear
MC		US-6,330,044 B1	12-11-2001	Murade	
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Exeminer Initials	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant				
			d Code <sup>5</sup> (known)	MM-DD-YYYY Application of Cited Document		Figures Appear	T*			
	<u> </u>	OTHER I	PRIOR AR	T – NON PATENT L	TERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of item (book, maga	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.							
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Art Unit	2822
Examiner Name	M. Lewis
Attorney Docket Number	740756-2298

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MC		Byeon et al.; "High-Performance Tantalum Oxide Capacitors Fabricated by a Novel Reoxidation Scheme"; IEEE TRANSACTIONS ON ELECTRON DEVICES; Volume 37; No. 4; Pages 972-979.						
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